

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4413402
<b>Application Number:</b>	10615250
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8151
<b>Title of Invention:</b>	Method and system for providing principal protection exposure to equity markets
<b>First Named Inventor/Applicant Name:</b>	Yi Tso
<b>Customer Number:</b>	32361
<b>Filer:</b>	Barry J. Schindler/Christine Lucas
<b>Filer Authorized By:</b>	Barry J. Schindler
<b>Attorney Docket Number:</b>	06878.114001
<b>Receipt Date:</b>	08-DEC-2008
<b>Filing Date:</b>	08-JUL-2003
<b>Time Stamp:</b>	15:42:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1300
RAM confirmation Number	1135
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		tso_rce.pdf	470469	yes	13
			118a31789ab748540daf96d5a819332fa3fd d5fe		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Miscellaneous Incoming Letter		1	1	
	Extension of Time		2	2	
	Request for Continued Examination (RCE)		3	4	
	Amendment Submitted/Entered with Filing of CPA/RCE		5	5	
	Claims		6	9	
	Applicant Arguments/Remarks Made in an Amendment		10	13	
Warnings:					
Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	31869	no	2
			f03f460425e31584a54e9a8f6ac36222491c 7dbe		
Warnings:					
Information:					
Total Files Size (in bytes):			502338		

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**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

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